

Introduction

Fortune Interfinance Limited was founded in the year 1994 is a SEBI registered stock broker of National Stock Exchange of India Ltd. (NSEIL) and BSE Ltd. Our commitment to transparency, mutual trust, and top-notch services has fostered strong and lasting relationships with our clients. Today, we are a highly diversified group, offering a wide range of financial products and services to cater to various needs of our clients. Under the expert guidance of Mr. Rajendra Kumar Agarwal, one of our founding members with over 25 years of experience, we continue to grow, driven by our dedication and integrity in pursuing our long-term goals.

Background

This is with reference to the SEBI circular No. CIR/MIRSD/03/2011 dated June 09, 2011 regarding pre- funded instruments / electronic fund transfers.

In order to curb the inflow of third party funds/unidentified money, which is not in accordance with the provision of the relevant circular and which also affects the integrity of the securities market, the following mechanism have been put in place:

1. If the aggregate value of pre funded instrument is Rs 50000/- or more per day per client, the instruments can be accepted only if the same are accompanied by the name of the bank account holder and number of the bank account debited for the purpose duly certified the issuing bank. The mode of certification may include the following:
 - a) Certificate from the issuing bank on its letterhead or on a plain paper with the seal of the issuing bank.
 - b) Certified copy of the requisition slip (portion which is retained by the bank) to issue the instrument.
 - c) Certified copy of the passbook/ bank statement for the account debited to issue the instrument.
 - d) Authentication of the bank account number debited and name of the account holder by the issuing bank on the reverse of the instrument.



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2. Further in case of Electronic fund transfer, we ensure that the funds are received from the respective client account provided by the client. In case the client wishes to transfer the funds from the account which is not registered with us then he needs to provide a documentary proof for the same.

Change in the Policy will be adopted as and when required by the company and is binding on all the Staff/Employees/and Directors of the Company.

For Fortune Interfinance Limited



Designated Director

Date: - 20TH MARCH, 2023

CONFIDENTIAL