

**Introduction**

Fortune Interfinance Limited was founded in the year 1994 is a SEBI registered stock broker of National Stock Exchange of India Ltd. (NSEIL) and BSE Ltd. Our commitment to transparency, mutual trust, and top-notch services has fostered strong and lasting relationships with our clients. Today, we are a highly diversified group, offering a wide range of financial products and services to cater to various needs of our clients. Under the expert guidance of Mr. Rajendra Kumar Agarwal, one of our founding members with over 25 years of experience, we continue to grow, driven by our dedication and integrity in pursuing our long-term goals.

**Trading Account**

A trading account in which no transaction has been carried out for a period of more than 365 days (Three Hundred and Sixty Five days) i.e., 12 calendar months shall be classified as an Inactive/Dormant Account. The Terms "Dormant" and "Inactive" shall be used interchangeably.

**TREATMENT OF INACTIVE/DORMANT ACCOUNTS**

**Transactions in Dormant Trading Accounts**

In case of dormant trading accounts in which no transaction has been placed during the last 365 days (Three Hundred and Sixty Five days) i.e., 12 calendar months, the account of the client shall be suspended and the client shall not be permitted to execute a fresh transaction in the account unless the client provides either of the following:

- A written request in hard copy to reactivate the account and process the transaction duly signed by Client and submitted to R K Global Commodity Broking Limited along with the latest latest 6 months' bank statements for financial updation.
- Concerned Dealers are required to check the identity of the person before taking down orders.
- The said client before placing orders has to confirm their KYC requirements as provided earlier and in case the KYC requirement stands changed meanwhile, he will be required to first comply with the latest one.

The Compliance Team shall take the necessary measures as formulated in this policy to reactivate the dormant clients.





**MONITORING OF TRANSACTIONS**

- Evaluation for dormant account will be done on a daily basis for Trading accounts.
- Sudden activity in dormant accounts in large volume shall be viewed as a suspicious transaction and report will be generated.
- Such reports shall be reviewed by the Authorized Official.
- Transactions found to be suspicious shall immediately be reported to the Risk Management and Compliance Department.

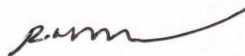
**OTHERS**

**Return on Assets**

The Balances lying in the Dormant Trading accounts shall be returned to the client at the time of the calendar quarterly/monthly settlement. In the event the client wishes to receive the funds/securities from such Trading account before the calendar quarterly/monthly settlement, the Client shall make a request in writing which shall be submitted to us. The funds/securities from such Trading account shall be returned within 7 days from receipt of the request.

**The policy may be reviewed as and when there is any change introduced by any statutory authority or as and when it is found necessary to change on account of need for an Improved compliance.**

**For Fortune Interfinance Limited**



**Designated Director**

**Date: - 20<sup>TH</sup> MARCH, 2023**